



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

| APPLICATION | NUMBER |
|-------------|--------|
|             |        |

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/910,958

07/24/2001

Brian S. Hooker

059440-0138

## REVIEWED BY

**CONFIRMATION NO. 3645** 

FORMALITIES LETTER

Richard C. Peet **FOLEY & LARDNER** Washington Harbour

3000 K Street, N.W., Suite 500 Washington, DC 20007-5109

Notice to File 1 5 19

Date Mailed: 09/05/2001



# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- \$74A The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$378
  - \$378 for 21 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1218.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)), Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch); 11/01/2001 GTEFFERA 00000041 09910958

01 FC:101

740.00 OP

02 FC:105

130.00 DP

03 FC:103

432.00 DP

# A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202 PART 1 - ATTORNEY/APPLICANT COPY



AA

Atty. Dkt. No. 059440-013\$

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Brian S. Hooker, et al.

Title:

CONTROLLED ENVIRONMENT AGRICULTURE BIOREACTOR FOR

HETEROLOGOUS PROTEIN PRODUCTION

Appl. No.:

09/910,958

Filing Date:

07/24/2001

Examiner:

Unassigned

Art Unit:

1638

# TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Commissioner for Patents Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on September 5, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

#### Enclosed are:

- [X] Declaration and Power of Attorney (4 pages)
- [X] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- [X] Other: Information Disclosure Statement with 83 References, Transmittal of Formal Drawings with Drawings and Petition to File Fulltone Photographs

#### The filing fee is calculated below:

|  | Claims<br>as Filed |        | Included in<br>Basic Fee |       | Extra<br>Claims |      | Rate       |   | Fee<br>Totals |
|--|--------------------|--------|--------------------------|-------|-----------------|------|------------|---|---------------|
| Basic Fee  |                    |        |                          |       |                 |      | \$740.00   |   | \$740.00      |
| Total Claims:  | 44                 | -      | 20                       | =     | 24              | х    | \$18.00    | = | \$432.00      |
| Independents:  | 3                  |        | 3                        | = -   | 0               | ×    | \$84.00    | = | \$0.00        |
| If any Multiple Dependent Claim(s) present: + \$280.00   |                    |        |                          |       |                 |      | \$280.00   | = | \$0.00        |
| Surcharge under 37 CFR 1.16(e) for late filing of Executed + \$130.00 Declaration and late payment of filing fee |                    |        |                          |       |                 |      | \$130.00   | = | \$130.00      |
| Petition to File Fulltone Photographs +  |                    |        |                          |       |                 |      | \$130.00   | = | \$130.00      |
|  |                    |        |                          |       |                 |      | SUBTOTAL:  | = | \$1432.00     |
| []   | Smal               | l Enti | ty Fees A                | Apply | / (subtrac      | ct ½ | of above): | = | \$0.00        |
| TOTAL FILING FEE   |                    |        |                          |       |                 |      | ILING FEE: | = | \$1,432.00    |
| TOTAL FEE  |                    |        |                          |       |                 |      |            | = | \$1,432.00    |

- [X] Check in the amount of \$1,432.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))
- Please charge Deposit Account No. 19-0741 in the amount of \$0.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date October 30, 2001

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